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APPLICATION NUMBER

FILING/RECEIPT DATE

FIRST NAMED APPLICANT

ATTORNEY DOCKET NUMBER

10/010,590

11/09/2001

Matthew S. Chang

50P4142

CONFIRMATION NO. 4351

FORMALITIES LETTER

OC000000007303617

Intellectual Property Department Sony Electronics Inc. 123 Tice Boulevard - MD T1-1 Woodcliff Lake, NJ 07677

Date Mailed: 01/14/2002

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

03/21/2002 TBESHAH1 00000042 193195

10010590

01 FC:105

130.00 CH

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The signature of the following inventor(s) is missing from the oath or declaration: Dayan Ivy Golden
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(I) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 130.

A copy of this notice MUST be returned with the reply.

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

Adjustment date: 04/10/2002 HNDHAMM1

03/21/2002 TBESHAH1 00000042 193195 10010590

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FILING RECEIPT

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IND CLAIMS TOT CLAIMS ATTY.DOCKET.NO DRAWINGS FIL FEE REC'D GRP ART UNIT FILING DATE APPLICATION NUMBER 36 3 50P4142 1028 2673 11/09/2001 10/010,590

CONFIRMATION NO. 4351

Intellectual Property Department Sony Electronics Inc. 123 Tice Boulevard - MD T1-1 Woodcliff Lake, NJ 07677

*1*8 2002

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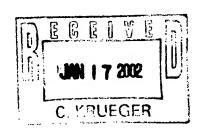
OC000000007303616*

Date Mailed: 01/14/2002

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Matthew S. Chang, San Diego, CA; Aditya Krishnan, San Diego, CA; Andrew M. Proehl, San Francisco, CA; David K.L. Yang, San Jose, CA; Frederick J. Zustak, Poway, CA; Peter Rae Shintani, San Diego, CA; Mark Kenneth Eyer, San Diego, CA; Nicholas Colsey, Del Mar, CA; Brant L. Candelore, Escondido, CA; Dayan Ivy Golden, San Diego, CA;



Assignment For Published Patent Application

Sony Corporation; Sony Electronics Inc.;

Domestic Priority data as claimed by applicant

Foreign Applications

If Required, Foreign Filing License Granted 01/07/2002

Projected Publication Date: To Be Determined - pending completion of Missing Parts

Non-Publication Request: No

Early Publication Request: No

Title

System and metond for delivering data to an information appliance using the ISO07816

Preliminary Class

345

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PATENT APPLICATION Chang, et al.; A SYSTEM AND METHOD FOR DELIVERING DATA TO AN INFORMATION APPLIANCE DOCKET NO .: 50P4142 USING THE ISO7816 Enclosed please find: Application - Utility (22 pgs, including cover sheet, specification and abstract with 36 Claims) ☑ Drawings: 6 sheets Assignment w/Recordation Coversheet (12 pgs) Declaration/Power of Attorney (9 pgs) Revocation of Prior Power and Change of Correspondence Address (1 page) ⊠ Return Receipt Postcard ▼ Transmittal Letter (1 page) in duplicate ☑ Certificate of Express Mailing [No. <u>EL645989590 US</u>] Atty/sec initials: AK/cm Mailed on November 9, 2001 10/010590 PTO RECEIVED

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CARLA KRUEGER

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